Provider Performance Framework

This training module will give providers an overview of the Provider Performance Framework and how it is used by the department to assess performance and determine annual licence reviews.

- Welcome
- Overview
- Modules and measures
- Module ratings
- Overall performance ratings
- Reporting and licensing
- **__** Summary

Welcome



The Provider Performance Framework is used by the department to assess your organisation's performance as a provider. It determines performance ratings which feed into annual licence reviews.

Having a good understanding of the standards to which your organisation will be held, and the processes and measures that will be used to assess performance is essential for your organisation to achieve the best possible ratings.

This training module gives an overview of the Provider Performance Framework and will help your organisation to understand how performance ratings are determined and how they are used in the licence reviews.

Learning outcomes

At the end of this training you will be able to:

- identify the structural features of the Provider Performance Framework (measures, modules, overall ratings)
- name the 5 modules of the Provider Performance Framework
- describe how measure results are rated (according to thresholds)
- describe how module ratings are determined for each module
- describe how overall ratings are determined and how they feed into licensing reviews
- describe what is publicly reported for provider performance.

The training module has been designed using research-based training methods. It presents you with new information and supports you to practice using it. It gives you the opportunity to check your understanding and apply your new knowledge.

Each lesson follows a similar format and includes:

- definitions
- descriptions of your role and responsibilities
- process diagrams
- scenarios
- activities
- feedback
- quiz questions
- links to other resources.

(i) This training module (the Module) is provided to assist Workforce Australia Employment Services Providers (Providers) realise the objectives of Workforce Australia Services in providing employment services under the Workforce Australia Employment Services Providers Deed of Standing Offer 2022 – 2028 (the Deed). The Module is made available to Providers solely for the purpose of receiving training from the Commonwealth to assist in their performance of their obligations under the Deed. Providers may not use the Module for any other purpose than receiving training from the Commonwealth.

The Module does not in any way vary the Deed or the obligations of Providers under the Deed (including without limitation any Guidelines). Any general statements in the Module do not diminish specific obligations applicable to Providers under the Deed or the Guidelines. The Module is not incorporated into the Deed.

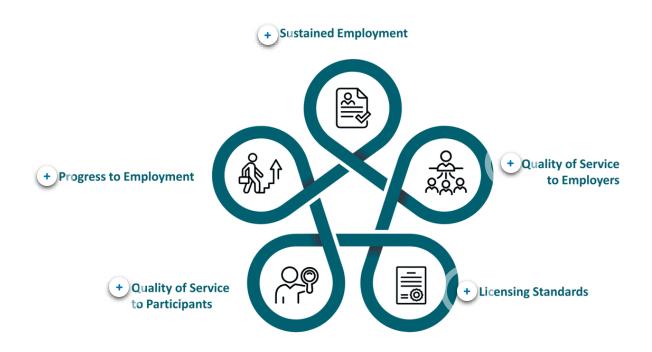
The Module may contain videos representing working environments. These videos should be taken as illustrative only and may not necessarily represent what is a safe system of work as required by the Deed or by work health and safety laws in Australian jurisdictions in which Providers operate.

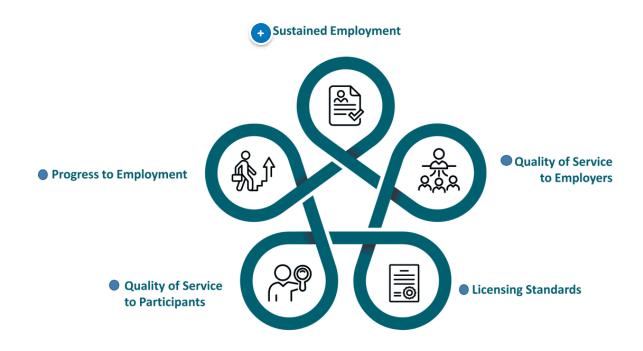
GET STARTED

Overview

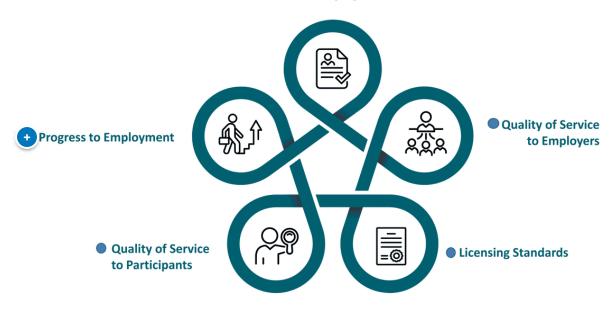
Structure of the Provider Performance Framework

The Provider Performance Framework is used to assess your organisation's performance at the licence level. Click on the hotspots to reveal the underpinning measures for each of the 5 modules.



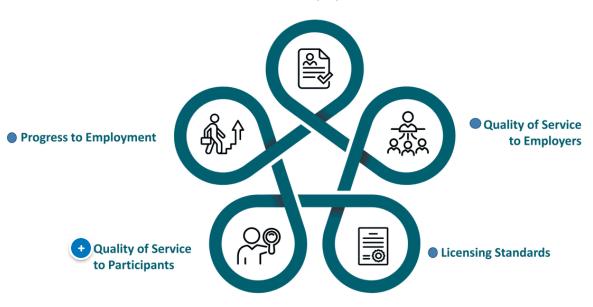


- 26 Week Outcomes All Participants
- 12 Week Outcomes All Participants
- 26 Week Outcomes Indigenous Participants
- 12 Week Outcomes Indigenous Participants



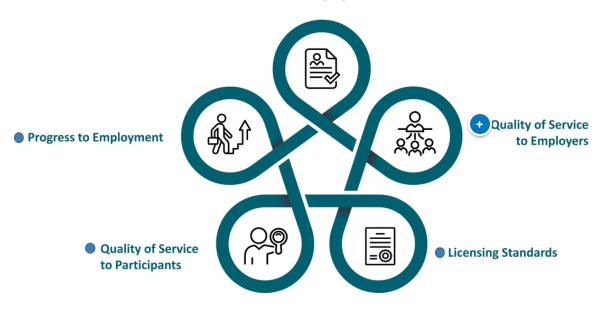
Progress to Employment

- Progress Payments
- User Views Progress
- Servicing Rate



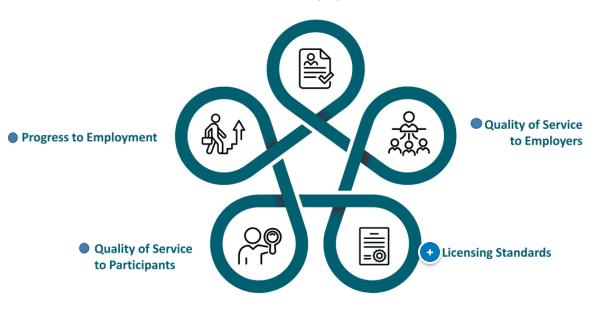
Quality of Service to Participants

- Service Delivery Assessment
- User Views Quality
- Tailored Servicing



Quality of Service to Employers

- Service Delivery Assessment
- Job Search Quality



Licensing Standards

• Program Assurance Activities and Breaches

You'll learn more about each module and the underpinning measures in the following lessons.

Purpose of the Provider Performance Framework

The framework is intended to:

- encourage innovation and drive performance improvement
- ensure that providers are fulfilling their obligations under the Deed and meeting the standards required
- inform participants and employers of each provider's performance in their local employment region.

Meeting framework standards is essential as performance results feed into the annual licence review.

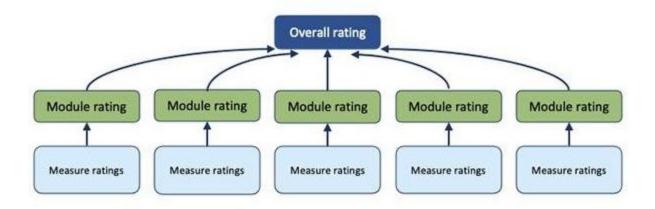
Performance ratings

Each quarter your organisation will be awarded a single overall performance rating for each licence it holds. A bottom-up process is used to determine this rating:

- Measure level ratings are determined based on provider activity
- Module level ratings are determined by the combination of measure level ratings
- Overall performance ratings are determined by the combination of module level ratings.

Each measure, each module and the overall performance will be assigned one of 3 possible ratings: high, moderate or low.

Overall performance ratings inform annual licence review decisions.



A bottom-up process is used to determine the overall performance rating

Measure ratings and thresholds

You've seen that there are three possible performance ratings – high, moderate and low. For each measure, 2 thresholds are applied that identify the bandwidths for these ratings. These thresholds are unique to each measure.

- A HIGH threshold defining the cut off between high and moderate ratings.
- A LOW threshold defining the cut off between moderate and low ratings.

The Provider Performance Framework is designed to drive improved performance by gradually changing performance thresholds over time where appropriate. Performance thresholds will be unique to each measure and will be published by the department before July each year.

The department will evaluate performance thresholds annually between April and May to identify performance thresholds that should be adjusted.

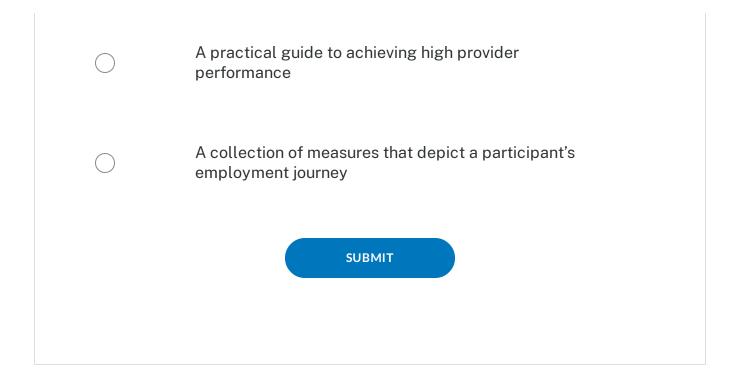
Check your understanding

Read the question and choose the correct answer.

What is the Provider Performance Framework?

A tool used by providers to self-assess their performance

A framework used by the department to assess provider performance

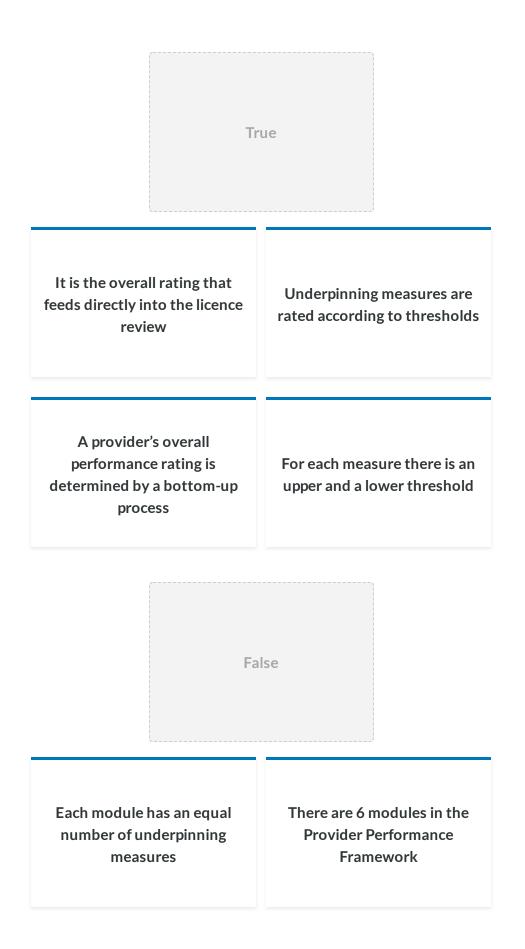


What is th	e purpose of the Provider Performance Framework?
\bigcirc	To enable the comprehensive assessment of a provider's service delivery at the licence level
\bigcirc	To encourage innovation and drive performance improvement
\bigcirc	To ensure providers are fulfilling their obligations under the deed and meeting the licensing standards required
\bigcirc	All of the above



\supset	It is the only measure of performance providers are held against
\supset	It requires providers to change fundamental behaviours to meet licensing requirements
\supset	Performance results based on the framework feed into annual licence reviews
\supset	It requires direct action from providers
	SUBMIT

Decide if the statements are true or false. Click on each card and drag it to the correct pile.

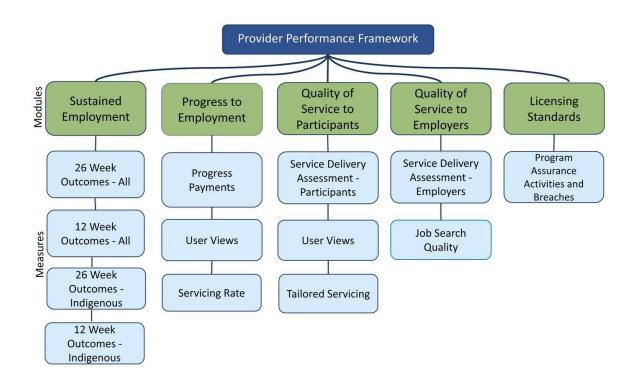


Next up - Modules and measures

CONTINUE

Modules and measures

In this lesson you will learn about the 5 modules and their underpinning measures.



To ensure performance is considered holistically, the framework comprises 5 modules. Click on each to see their underpinning measures.

Sustained Employment

This module assesses the success of the provider in achieving sustained employment outcomes for all participants and Indigenous participants over 12 and 26 weeks.

26-week Outcomes for All Participants	A quantitative measure of the provider's success at achieving 26 Week Outcomes for All Participants
26 Week Outcomes for Indigenous Participants	A quantitative measure of the provider's success at achieving 26 Week Outcomes for Indigenous Participants
12 Week Outcomes for All Participants	A quantitative measure of the provider's success at achieving 12 Week Outcomes for All Participants
12 Week Outcomes for Indigenous Participants	A quantitative measure of the provider's success at achieving 12 Week Outcomes for All Participants

Note:

Licences that have assisted fewer than 20 participants in the assessment of a specific measure will not receive a rating for that measure. It is expected that:

- Indigenous specialist licences will only be awarded ratings for the two Indigenous participant measures.
- CALD and Refugee specialist licences will only be awarded ratings for the two All Participant measures.

Progress to Employment

This module uses quantitative and qualitative measures to assess the success of the provider in progressing participants towards employment.

Progress Payments

A quantitative measure of the provider's

	success at achieving progress payments
User Views	A survey-based measure of participant experience of progressing towards employment
Servicing Rate	A quantitative measure of the extent to which providers deliver regular service to all participants on their caseload

Quality of Service to Participants

This module uses quantitative and qualitative measures to assess the success of the provider in delivering high quality service to participants.

Service Delivery Assessment - Participants	A qualitative assessment conducted by the department of each provider's quality of service delivered to participants.
User Views	A survey-based assessment of participant experience of the quality of service delivered by each provider.
Tailored Servicing	A quantitative assessment of the extent that participants are receiving a service tailored to their unique circumstances based on the diversity of tasks and points targets under the Points Based Activation System.

This module uses quantitative and qualitative measures to assess the success of the provider in delivering high quality service to employers.

Service Delivery Assessment - Employers	A qualitative assessment conducted by the department of each provider's quality of service delivered to participants.
Job Search Quality	A quantitative assessment of the provider's success at ensuring participants on their caseload are submitting appropriate job applications.

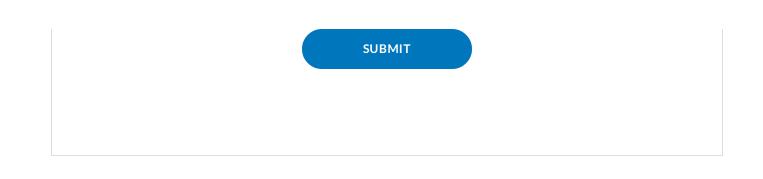
Licensing Standards

This module uses demerit score assessment results from Program Assurance Activities to assess the success of the provider in complying with the licensing standards required to operate.



The department may refine the modules and measures over time. Providers will be notified in advance of any changes.

Match the measure on the left with the module it relates to on the right.



Next up - Module ratings

CONTINUE

Lesson 4 of 7

Module ratings



Determining module ratings

In the previous lesson we saw how ratings are awarded for each measure. This lesson describes how the measure ratings are used to determine the module ratings.

Sustained Employment

As you know, most licences will have 4 measures in the Sustained Employment module, but some will have just 2. This requires 2 approaches to determining the rating of the Sustained Employment module.

i In this module, no ratings will be assigned for any measure with fewer than 20 participants in the denominator.

Performance rating requirements for licences with 4 measures

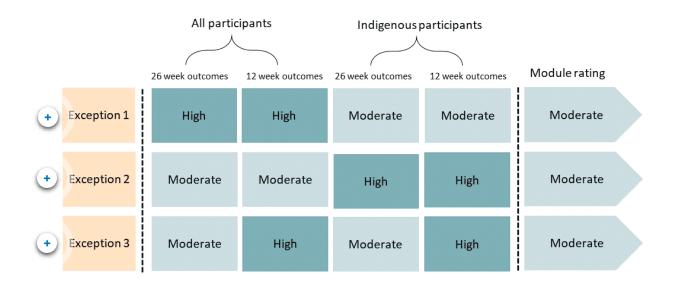
Click on each performance rating to view its requirements.

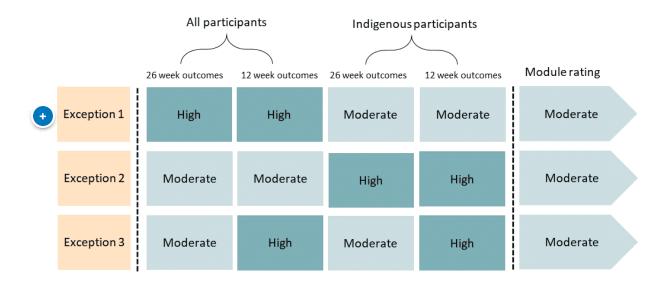
High
High ratings in 2 or more measures and not low in any measure (exceptions to high performance ratings are detailed below).
Moderate
Moderate ratings in at least 2 measures and no more than one high and one low in the remaining measures.
Low _
Low in 2 or more measures.

Exceptions to high performance ratings

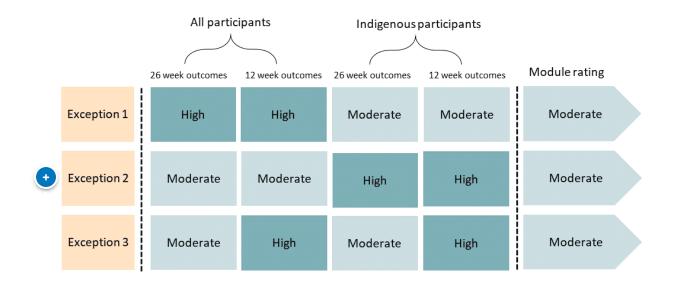
There are three exceptions for which licences with exactly 2 high measure ratings are awarded a moderate module rating.

Click on each exception in diagram below to reveal the reason for its moderate rating.

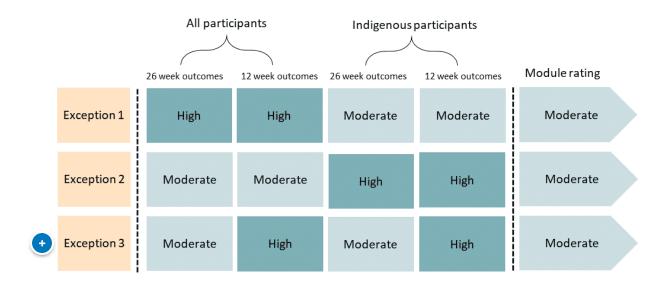




Licence has not achieved comparably high results for their Indigenous participants.



Licence has not achieved comparably high results for their entire caseload.



Licence has not been highly effective at sustaining employment placements for 26 weeks.

Performance rating requirements for licences with 2 measures

Click on each performance rating to view its requirements.

High

High in both measures.

Moderate

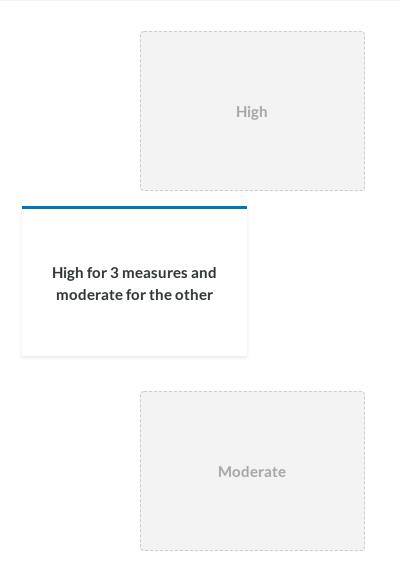
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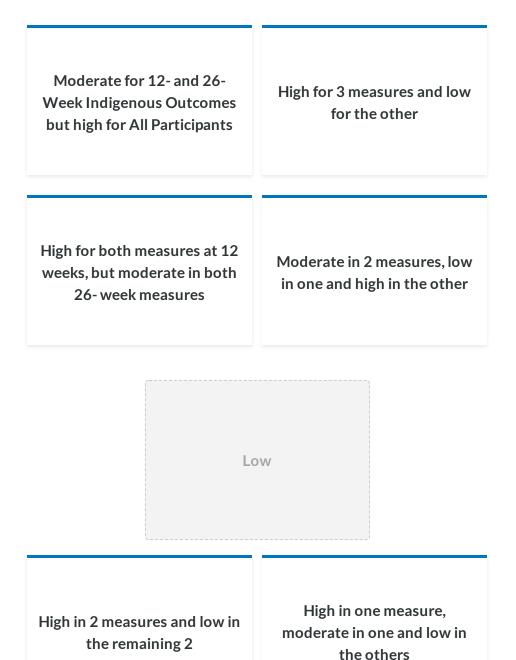
At least moderate in both measures.

Low _		
Low in one or both measures.		

Check your understanding

Decide what rating the Sustained Employment module would be given in the following situations. Click on each card and drag it to the correct pile.





Progress to Employment and Quality of Service

The same performance rating requirements exist for the following modules:

• Progress to Employment

- Quality of Service to Participants
- Quality of Service to Employers

Performance rating requirements

Click on each performance rating to view its requirements.

High	
High ratings in one or more measures and no low ratings.	
Moderate	
Moderate ratings in all measures.	
Low _	
Low ratings in one or more measures.	

(i) In instances where data is insufficient to calculate a result for a measure, performance against that measure will be rated as moderate.

Check your understanding

Consider each situation and decide if Progress to Employment or Quality of Service modules should be rated high, moderate or low. Click on each card to view the correct rating.

Quality of Service to Participants - One high rating and moderate ratings for the remaining 2 measures. High. The Provider needs one measure to be high and receive no low ratings to achieve a high rating.

Progress to Employment - 2 high ratings and one low rating. Low. One low measure rating will result in a module rating of low, even if all other ratings are high. Quality of Service to Employers - one moderate rating and one low rating. Low. One low measure rating will result in a low module rating, regardless of other ratings.

Licensing Standards

The Licensing Standards module will be assessed as high, moderate or low against the single underpinning measure – Program Assurance Activities and Breaches. As with all measures in other modules, the performance results for program assurance activities and breaches are determined by thresholds.

Next up - Overall performance ratings

CONTINUE

Lesson 5 of 7

Overall performance ratings



Determining overall performance ratings

In the previous lesson we saw how ratings are awarded for each module. This lesson describes how the module ratings are used to determine the overall performance ratings.

Performance rating requirements

Click on each performance rating to view its requirements.

High

High rating in the Sustained Employment module and at least moderate ratings in all other modules.

At least moderate ratings in all modules.

Low

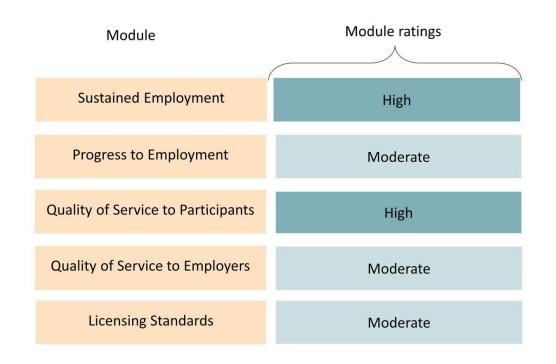
Low ratings in one or more modules.

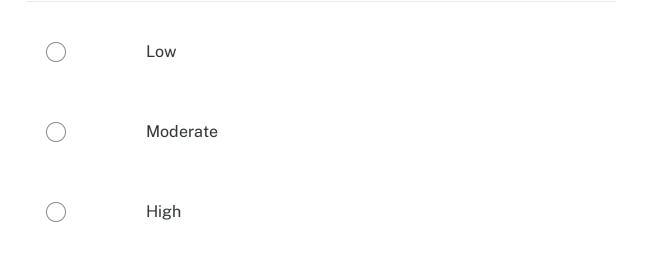
To ensure that the Provider Performance Framework drives continuous improvement, there will be a periodic review of the module performance ratings required to achieve each of the overall performance ratings (high, moderate or low). Reviews will be conducted on a module-by-module basis alongside reviews of measure thresholds.

Check your understanding

Consider the questions below and select the correct answers.

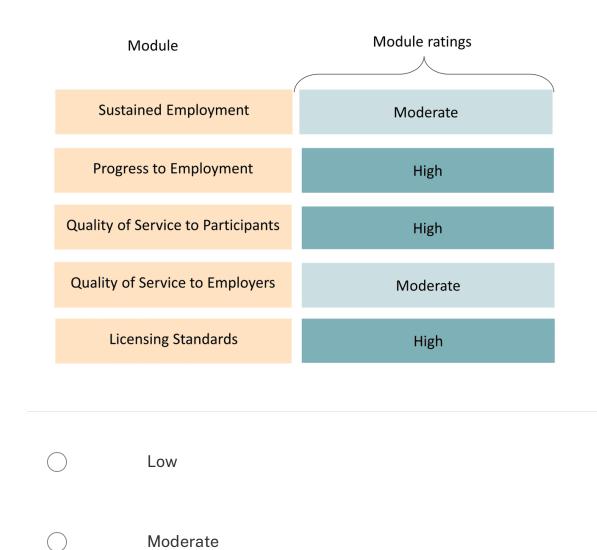
1 of 3. Take a look at the module ratings a provider has received for the quarter. What overall performance rating would they be given by the department?







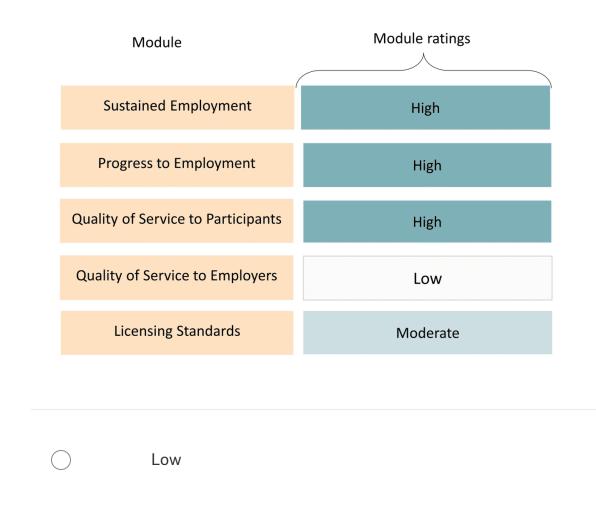
2 of 3. Another provider has received their quarterly module ratings. What overall performance rating do you think they would receive?



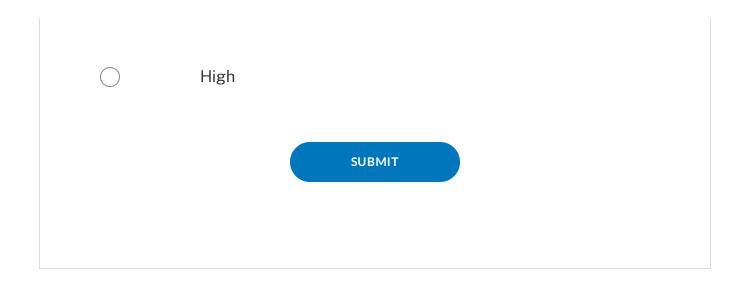
High



3 of 3. If you were assessing the module ratings below what overall performance rating would you give this provider?



Moderate



Next up - Reporting and licensing

CONTINUE

Lesson 6 of 7

Reporting and licensing



Release of performance ratings

The department will release performance ratings on a quarterly basis. The first release of performance ratings will occur for the quarter ending 30 June 2023.

As part of the release of quarterly performance ratings your organisation will receive its overall, module and measure ratings for each licence that it operates.

Public reporting

The overall and module ratings for all licences will be publicly released on departmental websites and distributed to Services Australia. This will make them available to participants and employers who are seeking performance information about the Workforce Australia providers in their local area. Measure ratings will not be released except for the User Views – Quality measure that indicates the level of participant satisfaction with the quality of service they have received. (i) Publication of User Views will be subject to meeting minimum sample size criteria.

Indicative release

The department will release indicative ratings after approximately 9 months of operation. These ratings are indicative as they are based on early data for some measures, particularly 26 Week Outcomes. They will not be publicly released.

The department will provide regular activity reporting for each quantitative measure to support your organisation in managing its own performance.

The impact of overall performance ratings on licensing

As mentioned previously, the overall performance rating that your organisation achieves is one of the several considerations that feed into the annual licence review and renewal processes. Although performance ratings are not the only consideration for annual licence reviews, consistently receiving low ratings may jeopardise your organisation's ability to maintain its licence/s.

Expectations of providers

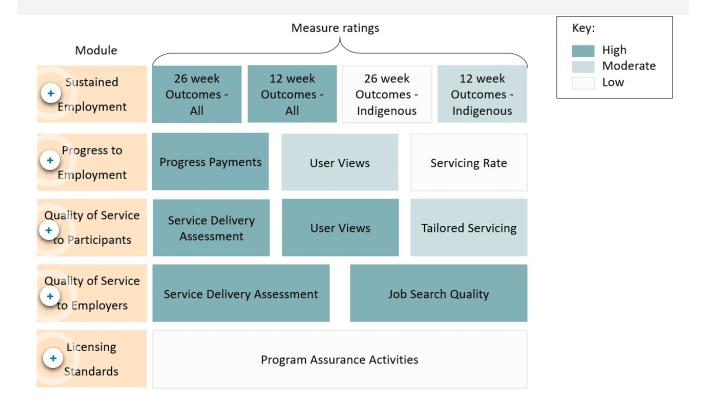
There are no additional reporting requirements associated with the Provider Performance Framework as the department will calculate results and assess performance based on information gathered through the ESS web and through regular licence management activities.

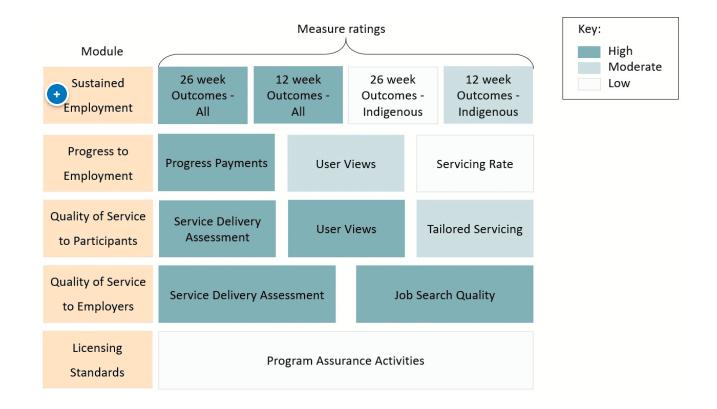
The purpose of this training module is to inform you of the processes and measures used to assess your organisation's performance and the standards to which it will be held.

While there is no explicit action needed, you are highly encouraged to gain a clear and thorough understanding of the Provider Performance Framework so you can use it to strategically plan and improve your organisation's performance ratings.

Performance rating scenario

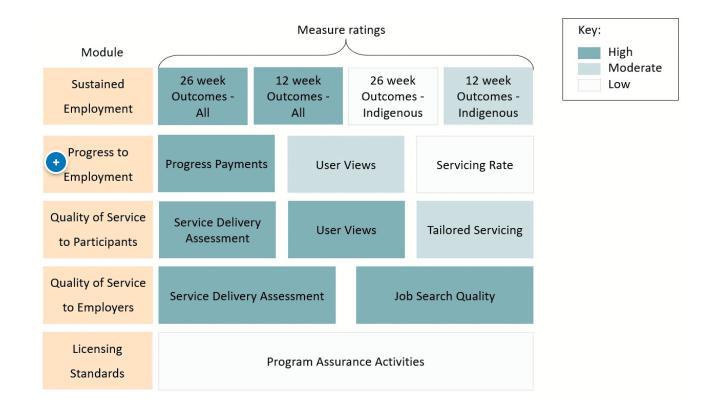
Let's revisit the requirements for the module ratings of low, moderate, and high. Imagine that this diagram below is your organisation's scorecard for the quarter. Click on the hotspots to find out the rating it would be given for each module and why.





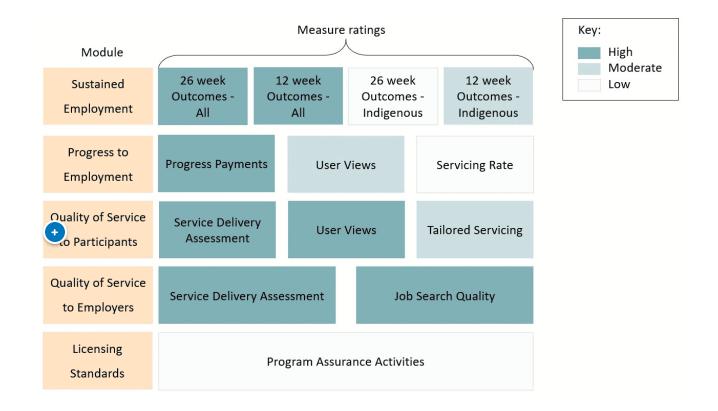
Sustained Employment

Your organisation has achieved a moderate rating for this module. Although 2 measures are rated high, to achieve a high module rating an organisation cannot have any measure rated low. You also have to consider exceptions to a high rating for this module. This means even if the 26 Week Outcomes for Indigenous Participants was rated moderate, your organisation would still only receive a moderate rating for the module. This is because it has not delivered comparable outcomes to Indigenous participants.



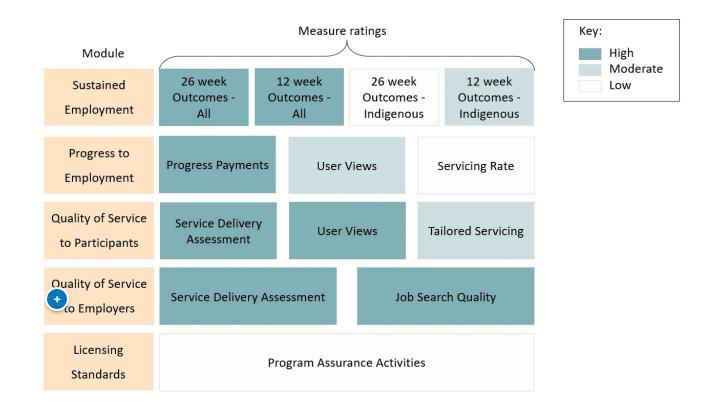
Progress to Employment

Your organisation has achieved a low rating for this module. It cannot achieve a moderate or high rating for this module if it has one or more low measure ratings.



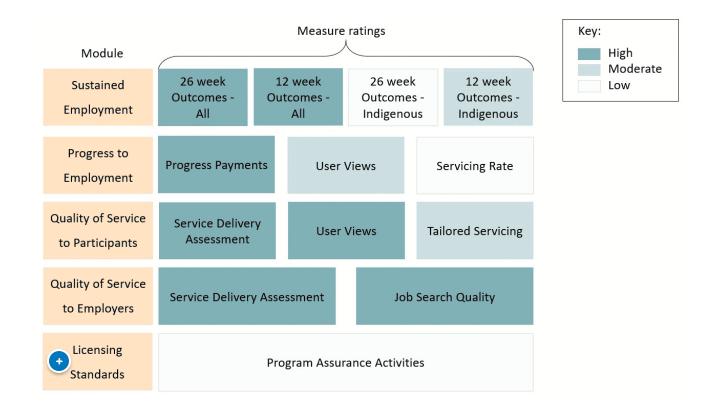
Quality of Service to Participants

Your organisation has achieved a high rating for this module. To achieve a high rating for this module, it must have one or more measures rated high and no measures rated low.



Quality of Service to Employers

Your organisation has achieved a high rating for this module. Both measures are rated high.



Licensing Standards

Your organisation has achieved a low rating for this module. The only measure is rated low.

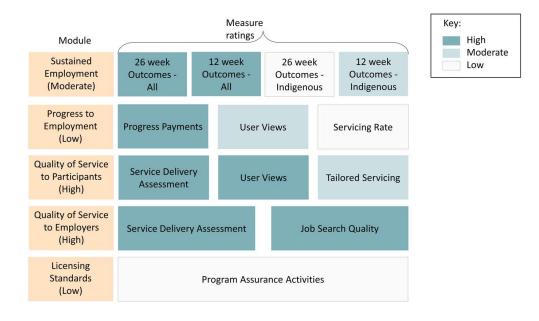
What wou	What would the overall performance rating be for this provider?	
\bigcirc	High	
\bigcirc	Moderate	
\bigcirc	Low	

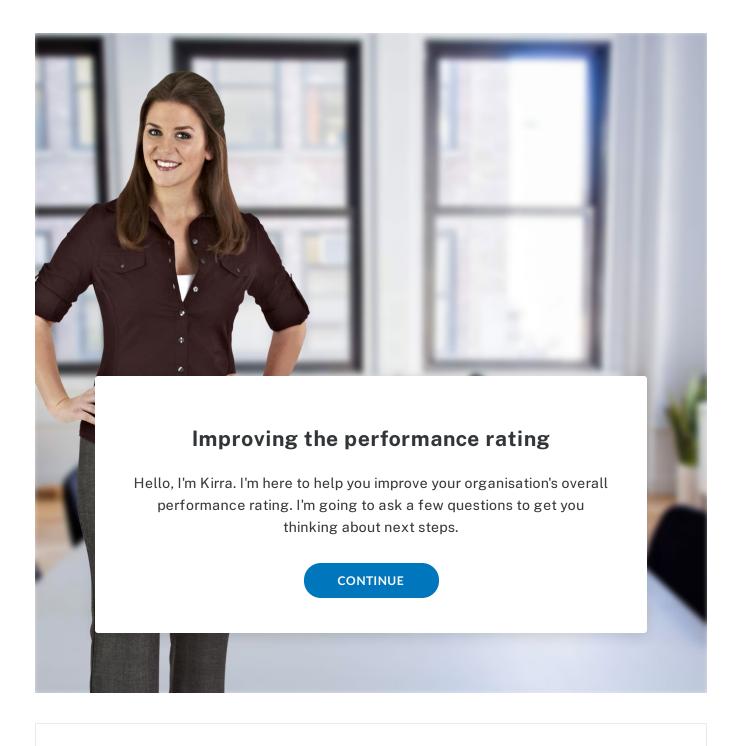


i If you want a summary of how module ratings and overall ratings are determined, check out the cheat sheet below.

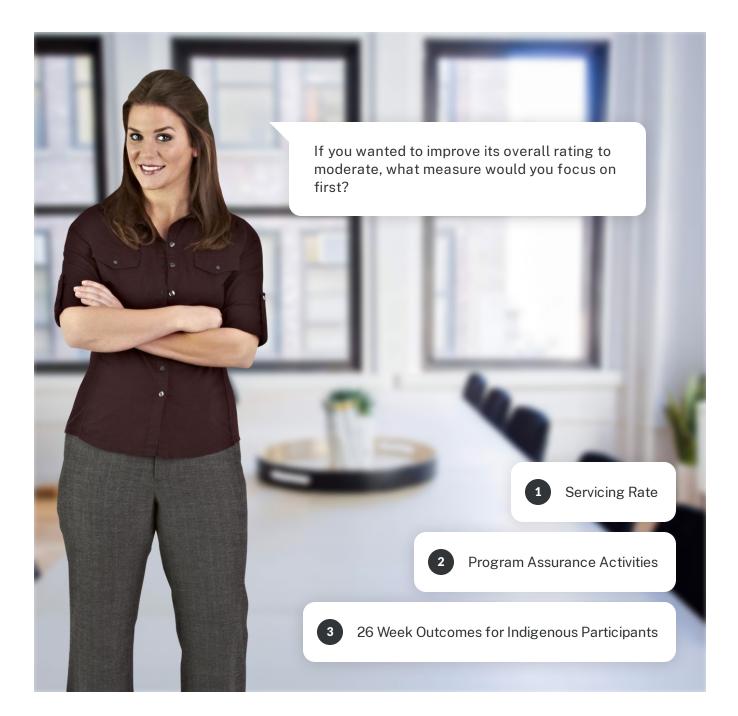
Try this performance rating scenario on your own ...

Now that you've learned about ratings and how they work, it's time to practice analysing the data, and deciding on a strategy. This will inform the steps you should take to improve your performance ratings.

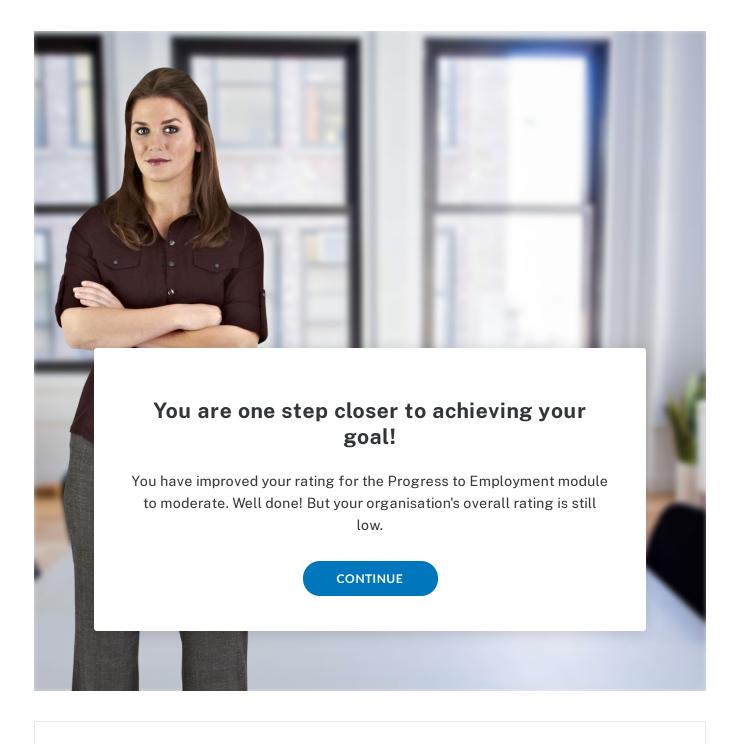




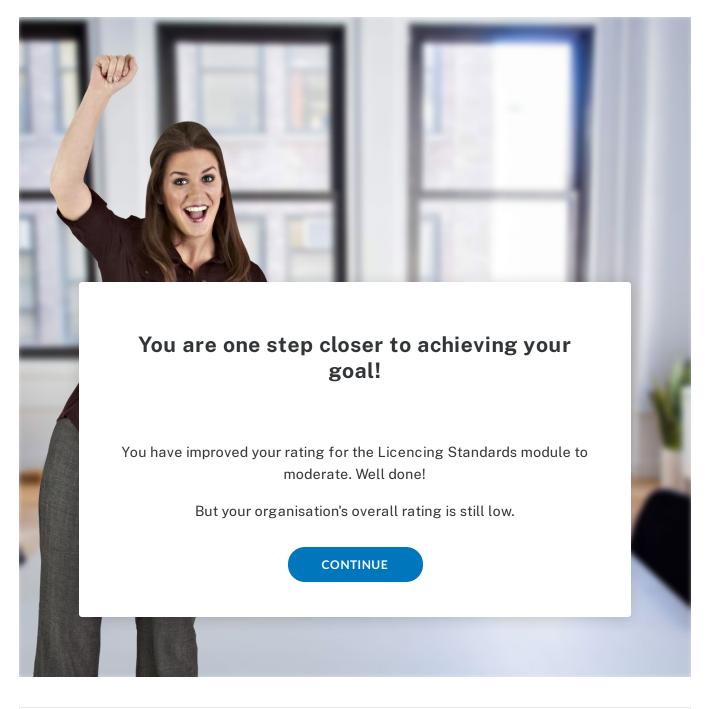
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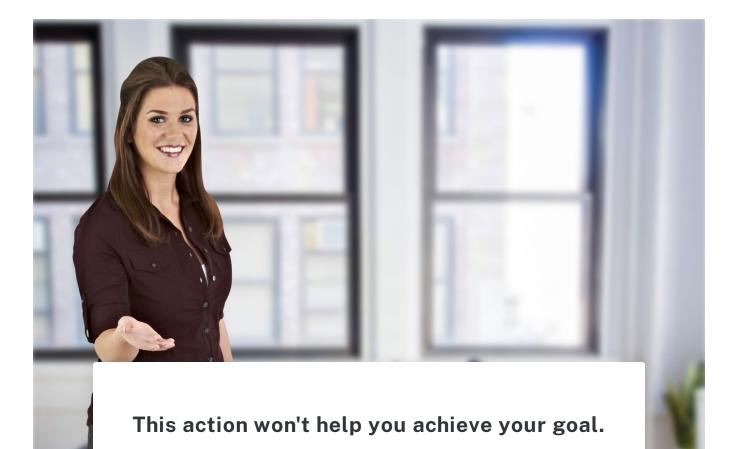
- $0 \ \rightarrow \ \text{Scene 1 Slide 3}$
- $1 \ \rightarrow \ \text{Scene 1} \, \text{Slide 4}$
- $2 \ \rightarrow \ \text{Scene 1 Slide 5}$



Continue \rightarrow Scene 1 Slide 6





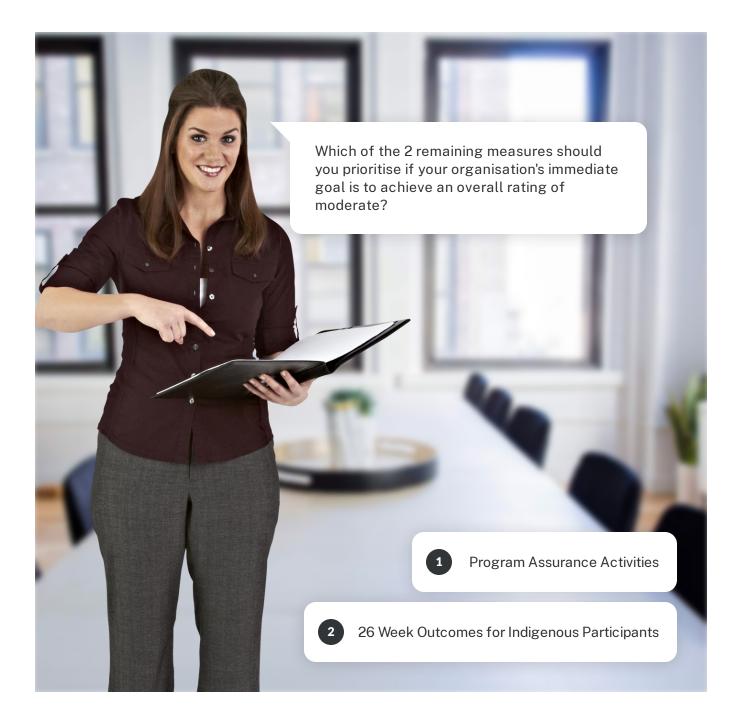


Sustained Employment is already rated as moderate and your strategy doesn't change this. You still have 2 modules rated at low. Let's give it one more try.

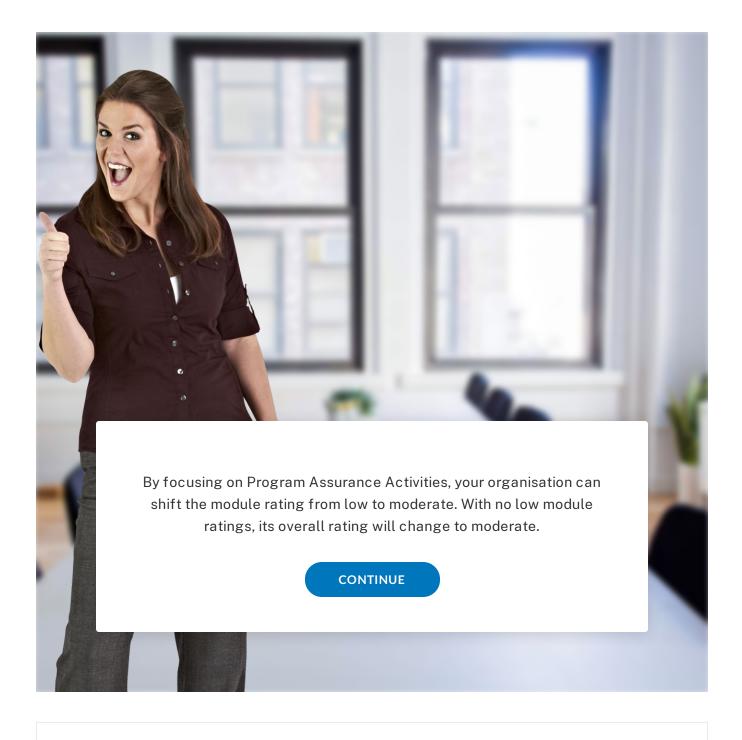


Scene 1 Slide 5

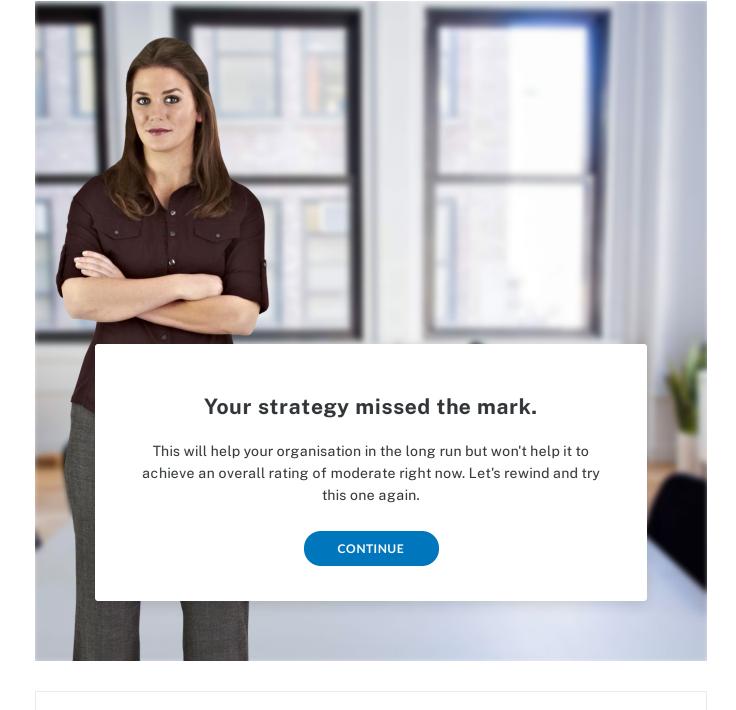
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- $0 \ \rightarrow \ \text{Next Slide}$
- $1 \ \rightarrow \ \text{Scene 1 Slide 7}$

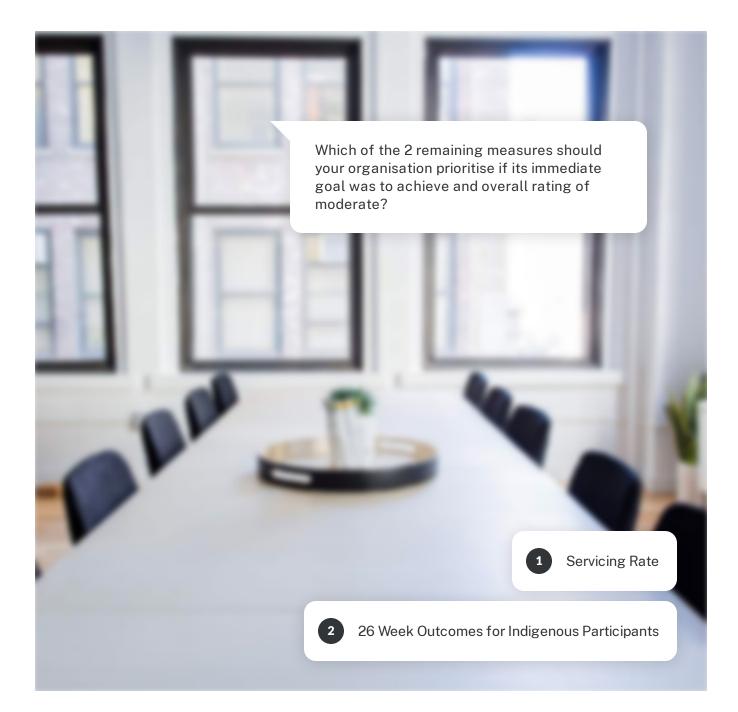


Continue \rightarrow Scene 1 Slide 12

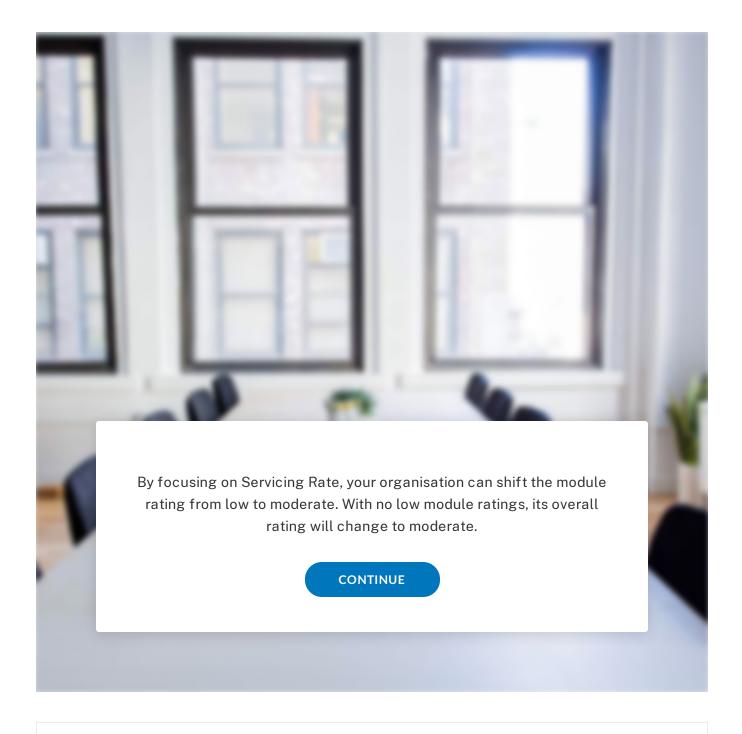




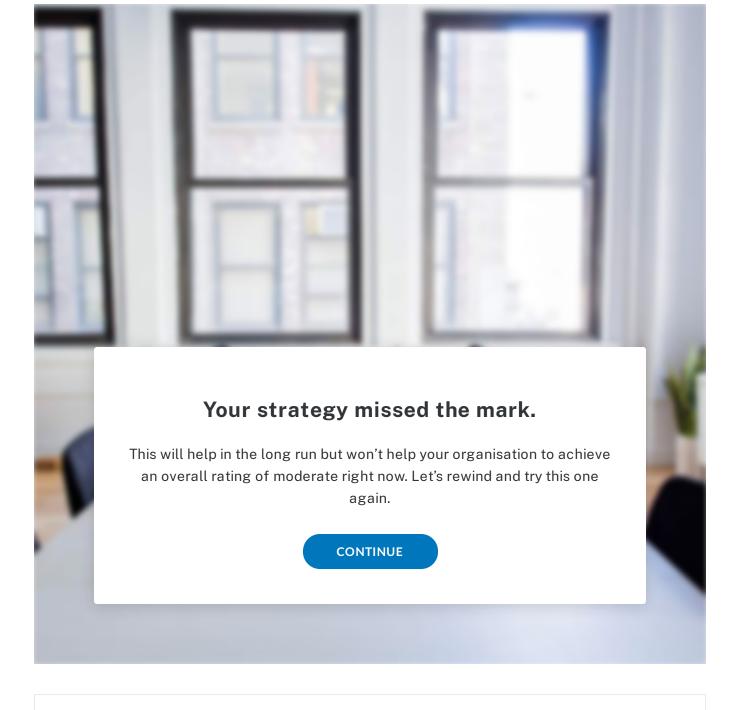
 $Continue \ \rightarrow \ Scene \ 1 \ Slide \ 6$



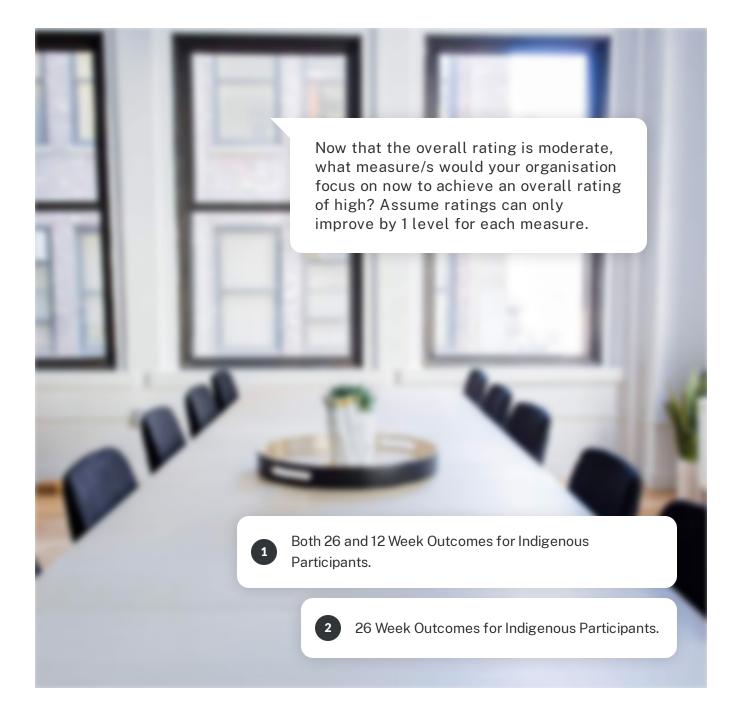
- $0 \ \rightarrow \ \text{Next Slide}$
- $1 \ \rightarrow \ \text{Scene 1 Slide 11}$



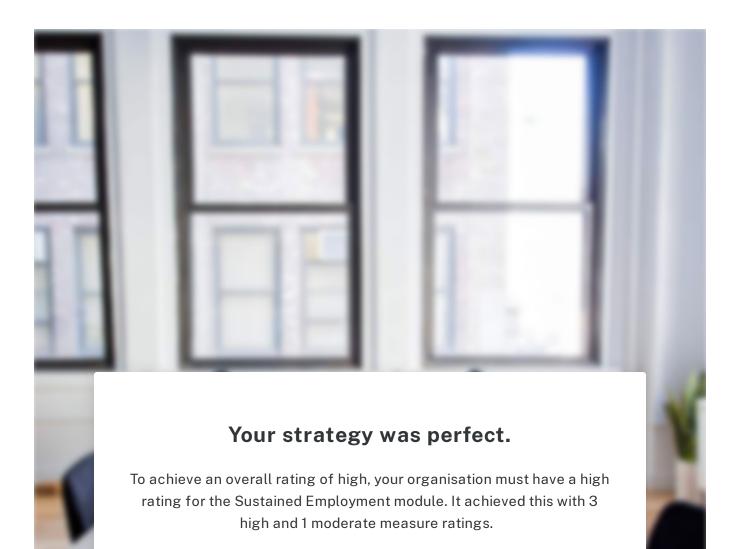
Continue \rightarrow Scene 1 Slide 12



Continue \rightarrow Scene 1 Slide 9

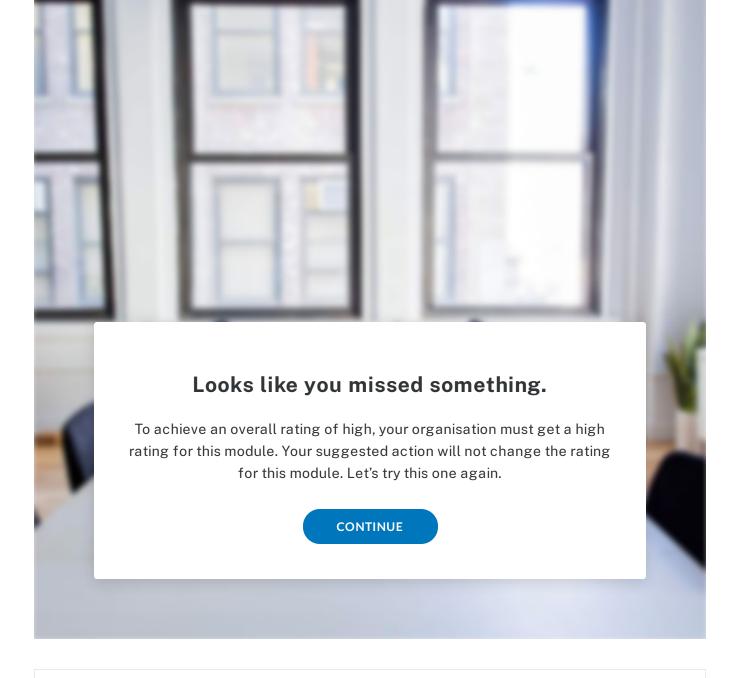


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- $1 \ \rightarrow \ \text{Scene 1 Slide 14}$





 $Continue \ \rightarrow \ Scene \ 1 \ Slide \ 15$



Continue \rightarrow Scene 1 Slide 12

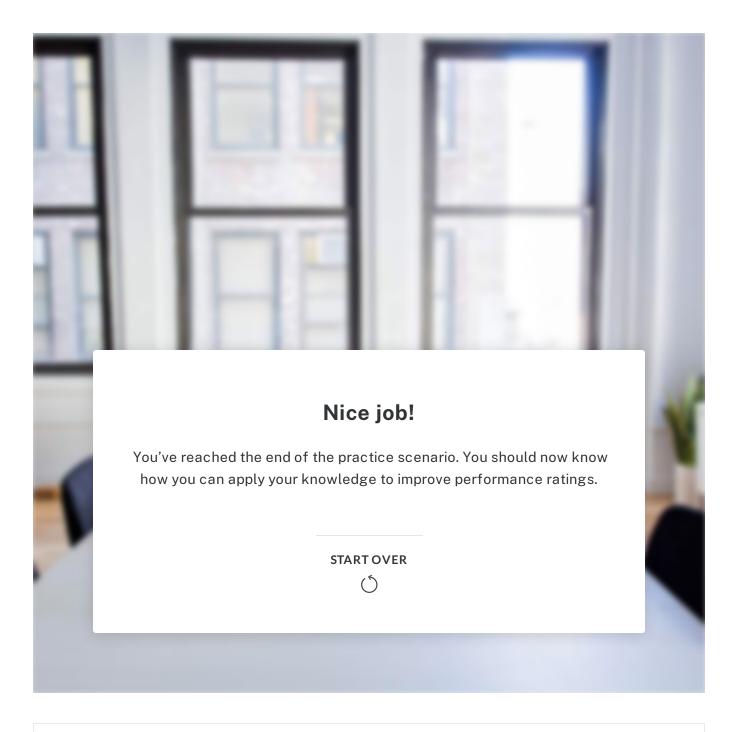
Congratulations!

Your organisation's scorecard has changed since we began this improvement journey. It now has a high rating for 3 modules and a moderate rating for 2. Its overall rating is high.



Scene 1 Slide 15

 $\mathsf{Continue}\ \rightarrow\ \mathsf{Next}\,\mathsf{Slide}$

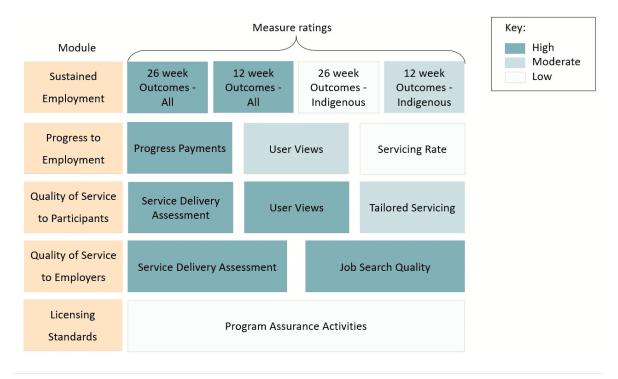


 $\mathsf{Continue}\ \rightarrow\ \mathsf{End}\ \mathsf{of}\ \mathsf{Scenario}$

Check your understanding

Now that you've had a practice, try answering this question. Refer to the original scorecard to decide on the most appropriate step.

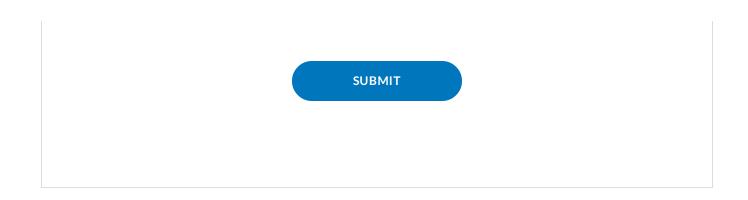
What actions would you take if you were this provider and were striving to achieve an overall rating of moderate? Choose the best advice.



Improve the Servicing Rate measure or the Program Assurance Activities and Breaches measure to achieve a moderate rating for either measure.

Improve both the Servicing Rate and Program Assurance Activities and Breaches to achieve a moderate rating for both measures.

Improve 26-Week Outcomes for Indigenous Participants to achieve a moderate rating for this measure.

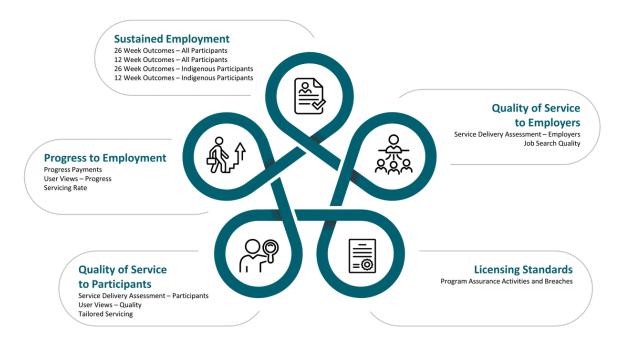


Next up - Summary

CONTINUE

Summary

Let's recap...



Provider Performance Framework

The Provider Performance Framework is used by the department to assess your organisation's performance as a provider. It is intended to encourage innovation, drive performance improvement and ensure that it is fulfilling its obligations under the Deed and meeting the standards required.

The framework is made up of 5 modules:

- Sustained Employment
- Progress to Employment
- Quality of Service to Participants
- Quality of Service to Employers
- Licensing Standards

There are several measures you will be assessed against for each module.

Performance ratings

- Measure ratings are determined by thresholds set by the department.
- Module ratings are determined by the combination of their underpinning measure ratings.
- An overall performance rating is determined by the combination of module ratings.
- Each measure, each module and the overall performance will be assigned one of three possible ratings: high, moderate or low.

Reporting and licensing

- The overall performance rating is one of several considerations that feed into the annual licence review and renewal process.
- Measure, module and overall performance ratings will be released by the department on a quarterly basis.
- Only the participant satisfaction measure, module and overall performance ratings will be publicly reported.

While this training module does not require any action, having a good understanding of the standards, processes, and measures can help you ensure you meet the required

expectations to maintain or extend your organisation's licence/s.

For more information about the Provider Performance Framework and its impact on licensing see the Provider Performance Framework and Licensing chapters of the Workforce Australia Services guidelines.

Congratulations - you've completed the module.

EXIT COURSE